



**Application Packet
For
Federations seeking local inclusion in the
Fall 2010
3 Rivers/Pennsylvania West Combined Federal Campaign**

This Packet Should Include:

2010 General Guideline	-	1 Page
2010 Federation Overview	-	1 Page
2010 Application Definitions	-	1 Page
2010 Application Instructions	-	5 Pages
2010 Application	-	4 Pages (13 questions/statements)
Checklist of Attachments	-	1 Page (Attachments A-F)
Application Self Audit Form	-	1 Page
Fax Confirmation Sheet	-	1 page
2010 Federation Member Application Packet	-	15 pages

DEADLINE – April 2 2010

Public Burden Statement

We think this form takes an average of 3 hours to complete, including the time for getting the needed data and reviewing both the instructions and completing the form. Send comments regarding our estimate or any other aspects of this form, including suggestions for reducing completion time to Office of Personnel Management (OPM), OPM Forms Officer, Paperwork Reduction Project (3206-0131), Washington, DC 20415-7900. The OMB number 3206-0131 is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.



2010
APPLICATION GUIDELINES
DEADLINE: APRIL 2, 2010

1. A copy of this year's CFC application form is provided for your convenience.
2. The federation is to designate the Certifying Official for purposes of the application.
3. The Certifying Official's signature must be original. Automatic pens and/or signature stamps may not be used.
4. Please note that items 4, and 5 are either/or statements. You must check the statement on each of these items that describes your organization.
5. For further guidance, please review the enclosed "Instructions for Applying". If these instructions do not answer your question you may contact the CFC office at threeriverscfc@verizon.net (412) 330-7667.
6. Please carefully review the list of attachments and submit a copy of each.
7. It is strongly recommended that you utilize the application review form to verify accuracy and completeness of your application prior to submission. **Applicants submitting missing, incomplete or out of date documents will not be permitted to correct their applications during the appeals process.**
8. Applications should be mailed to:

Amy Ervin – Campaign Director
PO Box 110047
Pittsburgh, PA 15232

Note: This is a USPS Box location only
Applications may NOT be submitted via Fax or E-mail

9. Applications may be submitted from February 22, 2010 until **April 2, 2010** (The regulations permit no exceptions). If using U.S. mail or another delivery service, please allow time for delivery, post marks are not acceptable if applications are not physically received by the due date.
10. If you want confirmation that your application has been received, fill out the enclosed fax sheet, and you will receive a fax back upon receipt of your application.
11. Eligibility notifications will be mailed by April 30, 2010. Notice of rejections will be sent by USPS registered mail. Notice of acceptance will be sent by e-mail transmission.
12. **The Federation will be notified via e-mail, as to which federation member agency applications the LFCC will review.**

3 Rivers/Pennsylvania West CFC 2010 Application Overview for Local Federations

Enclosed is the application for participation by local federations in the 3 Rivers/PA West CFC Region. A federation is a group of voluntary charitable human health and welfare organizations created to supply common fundraising, administrative, and management services to its constituent members. **A federation must have at least 15 member organizations, in addition to itself,** that individually meet all of the CFC eligibility criteria. If your organization does not have 15 member organizations that meet the CFC eligibility criteria, it must apply as an independent organization using the independent organization application.

The following instructions and forms are intended to assist federations in applying for participation in the CFC. All aspects of the CFC, including eligibility for participation, are strictly governed by Federal regulation. Copies of current CFC regulations are available at the OPM website at www.opm.gov/cfc.

You may download additional copies of the 3 Rivers/PA West CFC local federation application packet from our website at www.3riverscfc.org. OPM suggests that local federations use the local application provided when applying to the Combined Federal Campaign (CFC). However, OPM also provides a "model" application for participation by local federations in the Combined Federal Campaign located on the OPM website at www.opm.gov/cfc.

By applying for inclusion in the CFC, federations consent to allow the LFCC and Director complete access to it and its members' CFC books and records and to respond to requests for information by the LFCC and the Director.

All applicant federations and their members are subject to verification that they are active, tax-exempt 501 (c) (3) charities recognized by the IRS upon submission of the application.

Each Local Federal Coordinating Committee (LFCC) determines the application deadline for charitable organizations and federations seeking local eligibility. The application period for the 3 Rivers/PA West CFC Region is: February 22 – April 2, 2010. All required documents and attachments must be complete and submitted before the application deadline, April 2, 2010. Applicants whose applications do not contain documents or who submit in-complete or out-of-date documents will not be permitted to correct their applications during the appeals process. Federations that apply for local eligibility and are found ineligible will have an opportunity to appeal to the Local Federal Coordinating Committee (LFCC) for reconsideration. If found ineligible on appeal by the LFCC, the federation may appeal the LFCC's decision to the Director of the Office of Personnel Management (OPM). The Director's decision is final for administrative purposes. Appellants should insure that their appeals are complete and responsive to the actual reasons for the LFCC denial decision.

In order to determine whether a federation may participate in the campaign, the LFCC may request evidence of corrective action regarding any prior violation of regulation or directive, sanction, or penalty, as appropriate. The LFCC will decide whether the federation has demonstrated, to the LFCC's satisfaction, that the federation has taken appropriate corrective action. Failure to demonstrate satisfactory corrective action or to respond to the LFCC's request for information within 10 business days of the date of the request may result in a recommendation that OPM withdraw federation status. The Director's decision will be communicated in writing to the federation.

Federations that apply for local eligibility and are found ineligible have **only one** opportunity to appeal to the LFCC. If found ineligible on appeal by the LFCC, the organization will have **only one** opportunity to appeal to the Director of OPM. The Director's decision is final for administrative purposes. Appellants should insure that their appeals are complete and responsive to the actual reasons for the LFCC denial decision.

The CFC will not accept applications with modifications to any of the certification statements.

The CFC regulations, at 5 CFR §950.604, require that federations retain documents pertinent to the campaign for at least three completed campaign years. Documents requested by OPM must be made available within 10 business days of request.

Federations need only fill out one application. Should the federation not be found eligible as a federation, the LFCC will evaluate the federation application as an independent application for inclusion in the campaign.

(NO FAXES OR ELECTRONIC SUBMISSIONS OF APPLICATIONS ACCEPTED)

APPLICATION DEFINITIONS

Federation

Legal name of the applicant federation. (If the name of the federation is different from the name which appears on the IRS determination letter, IRS Form 990, audited financial statements, or annual report, official documentation from the IRS or a state government authorizing this name change must accompany the application. The Federal Tax ID Number must be included.)

Employer Identification Number (EIN)

The nine-digit EIN that appears on the IRS determination letter and the IRS Form 990 submitted with this application.

5 Digit CFC Number

The 5 digit number assigned to the federation by the CFC. Federations that did not previously participate in the CFC should leave this field blank.

Mailing Address

A physical mailing address **must** be provided - Post Office Box addresses will not be accepted.

Telephone (including area code)

Organization's telephone number.

Contact Person

The contact person is the individual to whom the CFC will direct communications. This may be any individual in the organization.

Contact E-Mail Address

The contact person's e-mail address; not the organization's general e-mail address.

Contact Address

Contact person's physical mailing address if different than the organization's address. Post Office Boxes may be used. Participation decision letters and other CFC communications will be sent to the contact person at this address.

Telephone Number (including area code)

Contact Person's number, if different than the organization's number.

Fax

Contact Person's fax number.

Federation Website Address

List the complete Internet address of the applicant federation. (no e-mail addresses) **This information is required, if the organization has an Internet address.**

Disbursement Address:

List the address where paper checks will be sent, if different from mailing address. Post office boxes may be used for the disbursement address. Please note: The 3 River CFC is implementing electronic disbursements. Therefore if the applicant federation is accepted into the campaign, the federation will be required to provide electronic authorization for campaign disbursements.

Electronic Funds Transfer (EFT) Information List the Routing and Account numbers, along with the name of the financial institution, where funds should be disbursed electronically. This is the preferred method for distribution of CFC contributions in the 3 Rivers/PA West region.

Certifying Official The certifying official is the individual who has the authority to affirm that all statements in the application are accurate.

APPLICATION INSTRUCTIONS:

New Federation Applicants must submit, along with its application, the complete applications of all member organizations.

A Currently Participating CFC Federation Applicant must submit a complete application for itself as well as each member that is new to the federation (regardless of whether it participated as an independent organization or member of another federation or another region, in the 2009 CFC) and submit an application for each member denied participation in the 2009 CFC.

For details regarding CFC eligibility requirements for local federations, refer to CFC Guidance Memoranda on the CFC website at www.opm.gov/cfc.

Applicants must check the box next to each certification statement and sign on the line provided to demonstrate agreement to comply with the statement and to certify it meets the requirement. Failure to provide a check mark for each of the statements will be considered as a refusal to certify and will result in the denial of the application.

Item 1

Include as Attachment A a list of the federation and all member organizations applying for participation in the CFC which meet all local eligibility criteria of 5 CFR §§§ 950.202, 950.203, 950.204, and 950.401(i) for participation. Please review CFC Memoranda 2004-11, 2006-22, and 2008-10 for guidance on local eligibility criteria.

2009 EXCEL SHEET CAN BE PROVIDED - The list must include the following information for the federation and each of its member organizations applying for participation in the CFC: five-digit CFC code previously assigned to the applicant (leave blank if the applicant is new); legal name; telephone number; website address; EIN; address, statement of 25 words or less that describe the applicant organization's program activities; administrative fundraising rate; taxonomy codes (see instructions below), and; the local presence category under which each member organization is eligible for participation in the local campaign (e.g. Local, Adjacent, or Statewide).

NEW – The physical mailing address of the federation and each member organization applying for participation in the CFC must also be included in Attachment A.

All of the above information can be provided in an Excel spreadsheet via email. A model spreadsheet is available at www.opm.gov/cfc under "CFC Charity Applications". Please email excel sheet to threeriverscfc@verizon.net.

The legal name that is registered with the IRS must be provided for each applicant. If the applicant solicits funds using a different name, it should enter that name in the "DBA" ("Doing Business As") column. All applicants must include their EIN regardless of whether or not they are operating under a "DBA". See Item 2 for more information on the requirements for "DBAs".

The 25-word statement should not repeat the applicant's name. The legal name, DBA (if applicable), telephone number, website address, EIN, and taxonomy codes will NOT count toward an applicant's 25 words. OPM will not be responsible for incorrect Internet addresses. E-mail addresses are not accepted.

Special design text used to draw attention to a federation or member organization's title, such as special fonts, capitalization, quotations, and underlining, are not accepted. **Any statement uses special features or exceeds 25 words will be edited by the LFCC.**

Taxonomy Codes The federation and each of its member organizations applying for participation can identify up to three categories, in priority order, which most closely identify the type of mission, services, and activities provided. The corresponding letters will be printed in the organization's listing in the CFC charity list to assist donors in identifying charities by type of service provided. Categories are derived from the National Taxonomy of Exempt Entities (NTEE) classification system developed by the National Center for Charitable Statistics. The 26 categories are:

- A Arts, Culture, and Humanities
- B Educational Institutions & Related Activities
- C Environmental Quality, Protection & Beautification
- D Animal Related
- E Health – General and Rehabilitative
- F Mental Health, Crisis Intervention
- G Disease, Disorders, Medicinal Disciplines
- H Medical Research
- I Crime, Legal Related
- J Employment, Job Related
- K Food, Agriculture, and Nutrition
- L Housing, Shelter
- M Public Safety, Disaster Preparedness & Relief
- N Recreation, Sports, Leisure, Athletics
- O Youth Development
- P Human Services – Multipurpose and Other
- Q International, Foreign Affairs, National Security
- R Civil Rights, Social Action, Advocacy
- S Community Improvement, Capacity Building
- T Philanthropy, Voluntarism & Foundations
- U Science & Technology Research Institutes, Services
- V Social Science Research Institutes, Services
- W Public, Social Benefit: Multipurpose, Other
- X Religion Related, Spiritual Development
- Y Mutual/Membership Benefit Orgs., Other
- Z Other

Special design text used to draw attention to a federation title, such as special fonts, capitalization, quotations, and underlining, are not accepted. **Any statement that uses special features or exceeds 25 words will be edited by the LFCC.**

Each federation member charity must provide an "Attachment A" a detailed description of the programs, services, benefits, etc. provided by the federation or its member organizations and **how** those programs, services, benefits, etc. affect the health and/or welfare of the target population should be accessible to the LFCC for verification of statewide presence.

- Specify the recipient(s) of the service, benefit, assistance, or program activity (WHO)
- Describe the type of activities provided or conducted (WHAT)
- Describe how the services were provided or conducted (HOW)
- Provide dates of services within the 3 year period immediately prior to the application year (WHEN)
*applies specifically to national/international organizations
- **DON'T** - Generalize the nature of the activity; List activities that were "offered"

Item 2

Include as Attachment B a copy of the federation's most recent IRS determination letter. If the name of the applicant organization differs on the IRS determination letter, the IRS Form 990, or audited financial statements, documentation from the IRS or state government authorizing this name change must accompany the application.

Please review CFC Memorandum 2009-4 for more information on this requirement and examples of supporting documentation (www.opm.gov/cfc).

Units of Government are not eligible to participate in the CFC.

Each local federation and federation member's 501(c)(3) status will be verified with the IRS. Federation applicants and federation members whose current 501(c)(3) status cannot be confirmed by the IRS will be denied participation. OPM encourages organizations to request current letters from the IRS confirming the group's tax-exempt status. **This request can be made by contacting the IRS at (877) 829-5500.**

NEW – Attachment B must include the IRS determination letter and/or other supporting documentation (e.g. page from the Catholic Directory or letter from a bona-fide chapter’s or affiliate’s national organization stating that it is covered by the national organization’s tax-exemption and is in good standing with the national organization) that verifies the tax-exempt status of the federation and each of its member organizations as well as DBA (“Doing Business As” documentation, if applicable.

Item 3

Self Explanatory

Item 4

Check the appropriate box. The federation’s certifying official must certify the federation accounts for its funds on an accrual basis in accordance with Generally Accepted Accounting Principles (GAAP) and has an audit of its fiscal operations completed by an independent certified public accountant in accordance with Generally Accepted Auditing Standards (GAAS). No other basis of accounting is acceptable under Generally Accepted Accounting Principles (GAAP). The cash basis, modified cash basis, and modified accrual basis are not acceptable methods of accounting under GAAP.

Include as Attachment C a copy of the auditor’s report and the federation’s complete audited annual financial statements. The audited financial statements must cover the fiscal period ending not more than 18 months prior to the January of the campaign year to which the federation is applying (i.e. ending on or after June 30, 2008). **The audited financial statements must verify the federation is honoring designations made to each member organization by distributing a proportionate share of receipts based on donor designations to each member.**

The audited financial statements must include all statements and audit notes as required by GAAP. The Independent Auditor’s Report must include the signature of the auditor or the auditing firm.

Newly created federations operating for less than one year (as determined from the date of the IRS determination letter to the closing date of the CFC application period) are not required to submit audited financial statements.

Item 5

Check the appropriate box. **Include as Attachment D a copy of the complete, signed IRS Form 990 for a period ended not more than 18 months prior to January 2010.** The IRS Form 990 must include a signature in the block marked “Signature of officer”; the preparer’s signature alone is not sufficient. Organizations that file the IRS Form 990 electronically may submit a signed copy of the IRS Form 8879-EO or IRS Form 8453-EO in lieu of a signature on the IRS Form 990.

A complete IRS Form 990 is required including all supplemental statements and Schedule A, if applicable, for the applicant federation to be eligible for the CFC. If the IRS does not require the federation to file a Form 990 it must complete and submit a pro forma IRS Form 990 (see instructions below). IRS Forms 990EZ, 990PF, and comparable forms will not be accepted. However, smaller federations that file Form 990EZ may submit it with the appropriate pro forma pages as described below depending on whether or not it is a 2007 or 2008 IRS Form 990 attached in lieu of a complete IRS Form 990.

Pro forma IRS Form 990 Instructions – The IRS Form 990 can be downloaded from the IRS website (www.irs.gov). If the fiscal period ended before December 31, 2008, the applicant must use the 2007 IRS Form 990 and complete page 1 and Part V for CFC purposes.

If the fiscal period ended on or after December 31, 2008, the applicant must use the 2008 IRS Form 990 (or more recent year, if available). The following sections must be completed: page 1 (Part I, Summary and Part II, Signature Block), page 7 and 8 (Part VII, Compensation sections A and B); page 9 (Part VIII, Statement of Revenues), page 10 (Part IX, Statement of Functional Expenses), and page 11 (Part XI, Financial Statements and Report).

The IRS Form 990 and audited financial statements must be prepared using the accrual method of accounting and cover the same fiscal period ended not more than 18 months prior to January 2010 (i.e. ended on or after June 30, 2008).

Item 6

Calculate and enter the federation's annual percentage for administrative and fundraising expenses. This percentage is computed from the IRS Form 990 submitted with this application. Depending on which year's IRS Form 990 is submitted, the percentage will be calculated based on information contained on the:

(A) **2007** IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fundraising" (line 15) and dividing the resulting total by "total revenue" (line 12);

Or

(B) **2008 (or more recent)** IRS Form 990 by adding the amount in Part IX (Statement of Functional Expenses), Line 25, Column C (Management and General Expenses) to the amount in Line 25, Column D (Fundraising Expenses), and dividing the sum by Part VIII (Statement of Revenue), Line 12, Column A (Total Revenue). No other methods may be used to calculate this percentage. All percentages must be listed to the tenth of a percent (e.g. 15.7%).

Item 7

Each federation must include as Attachment E a complete listing of the federation's board of directors and the beginning and end dates of each individual's current term of office (e.g. John Smith, 2006-2009). Attachment E must also list the board's meeting dates and locations for the previous year (2009).

- Item 8 Self-explanatory
- Item 9 Self-explanatory
- Item 10 Self-explanatory
- Item 11 Self-explanatory

Item 12

Include as Attachment F a copy of the federation's most recently completed annual report. The annual report must cover the fiscal year ending not more than 18 months prior to January of the campaign year to which the federation is applying or the preceding calendar year. A more frequently published document, such as a quarterly newsletter, may be substituted so long as it meets the requirements for the annual report.

The annual report or more frequently published document must contain a full description of the federation's activities and supporting services during the year covered by the report and identify its directors and chief administrative personnel. The annual report or more frequently published document must also include an accurate description of the federation's membership dues and/or service charges received by the federation from the charitable organizations participating as members. The information must clearly present the amounts raised, the sources of contributions, the cost of fundraising, and how costs are recovered from donations.

Reproductions of annual reports that are available on a federation's website are acceptable. The annual report must be clearly marked as such on the website and must include all of the criteria outlined in the CFC regulations (see above). OPM will not accept miscellaneous pages from the federation's website that provide this information in lieu of an annual report document. A printed copy of the report must be included in the CFC application.

Item 13

Each federation and independent organization applying to participate in the CFC must, as a condition of participation, certify that it is in compliance with all statutes, Executive Orders, and regulations restricting or prohibiting U.S. persons from engaging in transactions and dealings with countries, entities, and individuals subject to economic sanctions administered by the U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC). The programs administered by OFAC restrict or prohibit U.S. persons from engaging in transactions and dealings with targeted countries, entities, and individuals. OFAC publishes a list of Specially Designated Nationals and Blocked Persons (SDN List). The persons on the SDN List are subject to economic sanctions. The SDN List and additional information relating to the economic sanctions programs that OFAC administers are available at <http://www.treas.gov/ofac>. A link to the SDN List is available on the CFC website (www.opm.gov/cfc). For further information, please see CFC Memo 2005-13.

Local CFC applications must be sent to the local campaign office. Do not send applications to the Office of Personnel Management. Note that each campaign area sets its own application deadline. For more information on the local application deadlines and addresses, please contact the Principal Combined Fund Organization (PCFO) representative in your area. Contact information can be found at www.opm.gov/cfc/Search/Locator.asp.



Combined Federal Campaign

2010 3 Rivers/Pennsylvania West CFC LOCAL FEDERATION APPLICATION

Federation: _____

(If the name of the federation is different from the name that appears on the IRS Form 990, official documentation authorizing this name change must accompany the application. The Federal Tax ID Number must e included.)

Employer Identification Number (EIN): ____ - _____

5 Digit CFC Number (If a participant in the last year's CFC): _____

Mailing Address: _____

(Post Office Box addresses are not accepted and may result in automatic disqualification.)

Telephone: (____) _____

Contact Person: _____

Contact Address: _____

(If different from the above address – Post Office Boxes are acceptable for the Contact Address. All federation CFC correspondence will be sent to this address.)

Contact Telephone: (____) _____ Fax: (____) _____

Contact E-Mail Address: _____

Federation Internet Webpage Address: _____

Disbursement Address: _____

(This is the address where paper checks will be sent)

Electronic Funds Transfer (EFT) information (Optional):

Routing Number (9 digits): ____ - _____

ACCT: _____

Financial Institution: _____

- 1) I certify that the federation named in the application has 15 or more member organizations that individually meet the eligibility criteria of 5 CFR §§ 950.202, 950.203, 950.204, and 950.401(i) for participation in this campaign by having either a substantial local presence in the geographic area served by the local campaign, a substantial local presence in the geographic area served by an adjacent local campaign, or a substantial statewide presence. **Include as ATTACHMENT A a list of all member organizations that meet this requirement. The list must include each organization with its legal name in parentheses (if using a "dba") and the category under which it is eligible for participation in the local campaign (e.g. Local, Adjacent, or Statewide).** See instructions for additional information.

Certifying Official's Signature

- 2) I certify that the Internal Revenue Service recognizes the federation named in this application as tax-exempt under 26 U.S.C. 501(c)(3) and to which contributions are tax deductible pursuant to 26 U.S.C. 170(c)(2). **Include as ATTACHMENT B a copy of the federation's most recent IRS determination letter and the IRS determination letter and/or other supporting documentation that verifies the tax-exempt status of its member organizations.** See instructions for additional information.

Certifying Official's Signature

- 3) I certify that the federation named in this application is a human health and welfare federation and either it or its member organizations provide services, benefits, or assistance to, or conduct activities that directly or indirectly affect, human health and welfare. **The services, benefits, assistance, or program activities affecting human health and welfare were provided in 2009.**

Certifying Official's Signature

- 4) Place a check in the **one** appropriate box:

I certify that the federation named in the application accounts for its funds on an accrual basis in accordance with generally accepted accounting principles (GAAP) and has an audit of its fiscal operations completed annually by an independent certified public accountant in accordance with generally accepted auditing standards (GAAS). **(Include as ATTACHMENT C a copy of the audited financial statement for a fiscal period ending no later than 18 months prior to January 2010 which verifies that the federation is honoring designations made to each member organization by distributing a proportionate share of receipts based on donor designations to each member.)**

- OR -

I certify that the federation named in the application accounts for its funds on an accrual basis in accordance with generally accepted accounting principles (GAAP), but has been operating for less than one year and therefore is not required to submit audited financial statements.

Certifying Official's Signature

- 5) Place a check in **the one** appropriate box:

I certify that the federation named in this application prepares and submits to the IRS a complete copy of the federation's IRS Form 990. **(Include as ATTACHMENT D a copy of the complete IRS Form 990 for a period ending not more than 18 months prior to January 2010, including signatures in the box marked "Signature of Officer" or in IRS Forms 8879-EO or 8453-EO. The preparer's signature alone is not sufficient. IRS Forms 990EZ, 990PF, and comparable forms are not acceptable substitutes.)**

- OR -

- I certify that the federation named in this application is not required to prepare and submit an IRS Form 990 to the IRS. (Include as **ATTACHMENT D a pro forma IRS Form 990** for a period ending not more than 18 months prior to January 2010. See application instructions for pro forma IRS Form 990 requirements. IRS Forms 990 EZ, 990PF, and comparable forms are not acceptable substitutes.)

Certifying Official's Signature

- 6) I certify that the administrative and fundraising rate for the federation named in this application is ___ . ___%. This percentage is computed from the IRS Form 990 submitted with this application. Depending on which year's IRS Form 990 is submitted, the percentage will be calculated based on information contained on the:

(A) **2007** IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fundraising" (line 15) and dividing the resulting total by "total revenue" (line 12);

-OR-

(B) **2008 (or more recent)** IRS Form 990 by adding the amount in Part IX (Statement of Functional Expenses), Line 25, Column C (Management and General Expenses) to the amount in Line 25, Column D (Fundraising Expenses), and dividing the sum by Part VIII (Statement of Revenue), Line 12, Column A (Total Revenue). No other methods may be used to calculate this percentage. All percentages must be listed to the tenth of a percent (i.e. 10.0% or 15.5%).

Certifying Official's Signature

- 7) I certify that an active and responsible governing body, whose members have no material conflict of interest and a majority of which serve without compensation, directs the federation named in this application. (Include as **ATTACHMENT E** a list of the federation's board of directors with the beginning and ending date of each board member's current term of office. **ATTACHMENT E** must also list the board's meeting dates and locations for calendar year 2009.)

Certifying Official's Signature

- 8) I certify that the federation named in this application prohibits the sale or lease of CFC contributor lists.

Certifying Official's Signature

- 9) I certify that the federation named in this application conducts publicity and promotional activities based upon its actual programs and operations, and that these activities are truthful and non-deceptive, include all material facts, and make no exaggerated or misleading claims.

Certifying Official's Signature

- 10) I certify that the federation named in this application effectively uses the funds contributed for its announced purposes.

Certifying Official's Signature

- 11) I certify that the federation named in this application does not employ, in its CFC operations, the services of private consultants, consulting firms, advertising agencies or similar business organizations to perform the policy-making or decision-making functions in the CFC.

Certifying Official's Signature

- 12) I certify that the federation named in this application prepares and makes available to the public an annual report that includes a full description of the federation's activities and supporting services, member fees and/or service charges, and identifies its directors/governing body and chief administrative personnel. **Include as ATTACHMENT F a copy of the most recently completed annual report.** See *Instructions Item 12 for additional information.*

Certifying Official's Signature

- 13) I certify that the organization named in this application is in compliance with all statutes, Executive orders, and regulations restricting or prohibiting U.S. persons from engaging in transactions and dealings with countries, entities, or individuals subject to economic sanctions administered by the U.S. Department of the Treasury's Office of Foreign Assets Control. The organization named in this application is aware that a list of countries subject to such sanctions, a list of Specially Designated Nationals and Blocked Persons subject to such sanctions, and overviews and guidelines for each such sanctions program can be found at <http://www.treas.gov/ofac>. Should any change in circumstances pertaining to this certification occur at any time, the organization will notify OPM's Office of CFC Operations immediately

Certifying Official's Signature

CERTIFYING OFFICIAL

I, _____, am the duly appointed representative
(Print Name)

of _____ authorized to certify and affirm all statements
(Print Federation name)

enclosed in this application. I certify that I have read all the certifications set forth in this document and affirm their accuracy. In addition, by checking the box next to the certification, the federation named in this application acknowledges and agrees to comply with that certification.

(Signature)

(Typed or Printed Name)

(Title)

Date Completed _____

NOTE:

Applications will not be accepted if submitted electronically or by facsimile.

The certifying official's signature must be original.

Automatic pens and/or signature stamps may not be used.

**LIST OF REQUIRED ATTACHMENTS
COMBINED FEDERAL CAMPAIGN
2010 APPLICATION**

(Created to assist to with application process)

One copy of each attachment is required. (FAILURE TO PROVIDE ANY OF THESE MAY RESULT IN A DENIAL)

- Attachment A** – Documentation of local presence, adjacent presence, or statewide presence. (See Item 1 in the instructions.) Attach a statement of 25 words or less describing your agency programs. Provide the percentage of its total support and revenue that goes to administration and fund raising, a telephone number, legal name (if different), EIN, and web page address (if available) through which donors can receive further information.

- Attachment B** - Most recent IRS Determination Letter & IRS change of name letter if applicable.. Interim IRS 501 (c) (3) letters with expiration dates before December 31 of the year preceding the campaign year for which the organization is applying must provide proof of application to the IRS for permanent 501(c) (3) status. (See Item 2 in the instructions.) All member letters are required.

- Attachment C** - Agency's most recent audited financial statements, if required. (See Item 4 in the instructions.)

- Attachment D** -. Agency's most recent IRS Form 990- Even if the Internal Revenue Service does not require your organization to file the Form 990, you must complete a Pro Forma 990 and include it in your application to be eligible for the Combined Federal Campaign. A 990EZ is only accepted from smaller organizations when accompanied by pages 1 & 2 IRS Form 990 or Pro Forma 990.

NOTE: ATTACHMENTS C and D must cover the same time period.

- Attachment E** - Listing of the organization's board of directors, beginning and ending dates of each member's term of office, and the board's meeting dates and locations for the previous year. (See Item 7 in the instructions.)

- Attachment F** - Attach the agency's most recent Annual Report. (See Item 12 in the instructions.)

2010 CFC FEDERATION - APPLICATION SELF- AUDIT FORM

It is highly recommended that the federation use this form to self audit their application prior to submission for accuracy and completeness. Missing and incomplete documentation may result in denial of the application.

- Completed Application Form All Certifications Checked Affirming Original Signatures

ATTACHMENT A - (EXCEL SHEET) - List of a minimum of 15 Member Agencies *that meet all of the eligibility requirements: ALL BOXES MUST BE CHECKED*

- CFC code previously assigned to the applicant (leave blank if the applicant is new)
- Legal name Address Telephone number Website address
- EIN; statement of 25 words or less that describe the applicant organization's program activities
- Administrative fundraising rate Taxonomy codes
- The local presence category under which each member organization is eligible for participation in the local campaign (e.g. Local, Adjacent, or Statewide). The federation is not required to indicate a local, adjacent or statewide presence.

ATTACHMENT B - IRS determination letter - 501(c)(3)

- Official documentation authorizing name change (DBA) *(if applicable)*

ATTACHMENT C - Copy of audit (Must include **June 30, 2008** or more current) Time Frame: _____

- N/A **(Not required if Federation is less than 24 months old)**
- Name matches IRS Determination Letter or DBA Documentation
- The Independent Auditor's Report must include the signature of the auditor or the auditing firm.
- GAAP/GAAS** Yes NO
- The audited financial statements must include all statements and audit notes as required by GAAP.
- The audited financial statements must verify the federation is honoring designations made to each member organization by distributing a proportionate share of receipts based on donor designations to each member.

ATTACHMENT D - IRS form 990 (Must include **June 30, 2008** or more current) Time Frame: _____

- Method of Accounting: **Accrual** Cash Other
- Form 990, *includes signature of officer*
- Form 990EZ, (Must include 990 page 1, 2 and signature page)
- Same fiscal period as audit
- Name and EIN match IRS Determination Letter or DBA
- Board Information in Part V (including 0 or no compensation of majority.)
- Includes Schedule A & all supplemental statements

Administration/Fundraising Expenses

2007 IRS Form 990

Add line 14 (management & general) and Line 15 (fundraising): _____ + _____ = _____ Total

Enter Line 12 (total revenue): _____

Divide total of lines 14 & 15 by line 12. **2007 overhead:** _____%

2008 IRS Form 990

Add Line 25, Column C (Management & General Expenses) to the amount in Line 25, Column D (Fundraising Expenses). (Part IX

Statement of Functional Expenses) 25 C _____ + 25 D _____ = _____ Total

Enter Line 12 (Column A - Total Revenue _____). Divide the total of lines 25C & 25D by line 12. **2008 overhead:** _____%.

ATTACHMENT E – A complete listing of the organization's board of directors.

- The beginning and end dates of each individual's term of office (e.g. John Smith, 2006-2009).
- List the board's meeting dates and locations for the previous year.

ATTACHMENT F - Annual Report (Must include **June 30, 2008** or more current) Time Frame: _____

<ul style="list-style-type: none"> <input type="checkbox"/> Annual Report <input type="checkbox"/> Equivalent Newsletter <input type="checkbox"/> Reproduction of annual report on website; clearly marked as "annual report" on website—cannot be miscellaneous pages from Federation's website 	<p>Must Include:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Full Description of Activities/Services during the year <input type="checkbox"/> Identify Directors/chief administrative personnel <input type="checkbox"/> Accurate description of Membership Dues/Service Charges received from member charities <input type="checkbox"/> Amounts raised from Membership Dues/Service Charges <input type="checkbox"/> Sources of the contributions <input type="checkbox"/> Cost of fundraising <input type="checkbox"/> How costs are recovered from donations
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FEDERATION APPLICATION RECEIPT CONFIRMATION

If you would like verification that your application was received, fill out the following 4 lines of this sheet, and return it with your application. It will be faxed to you upon receipt.

TO: _____ (agency name)

ATTN: _____ (contact)

PHONE: _____

FAX: _____

Total # of Pages: 1

FROM: **(INSERT FEDERATION NAME)**

As of _____, we are in receipt of an application from the
(date)
agency listed above seeking inclusion in the Fall 2010 3 Rivers/PA West CFC.

Best Wishes!

- - - - -

Member Charity Application Review List for LFCC

Please provide the following member charity applications for LFCC review:

In accordance with § 950.304 (b) of the Federal Regulations, if the LFCC requests information supporting a certification of local eligibility, that information shall be furnished promptly. Failure to furnish such information within 10 business days of the receipt of the request constitutes grounds for the denial of local eligibility.



Application Packet
For
Member Charities seeking local inclusion in the
Fall 2010
3 Rivers/Pennsylvania West Combined Federal Campaign

This Packet Should Include:

2010 Application Guidelines	-	1 Page
2010 Application Overview	-	1 Page
2010 Application Definitions	-	1 Page
2010 Application Instructions	-	5 Pages
2010 Charity Application	-	5 Pages
Form for Attachment E	-	1 Page
Checklist of Attachments	-	1 Page (Attachments A-E)
Application Self Audit Form	-	1 Page
Fax Confirmation Sheet	-	1 page

(INSERT FEDERATION DEADLINE)

Public Burden Statement

We think this form takes an average of 3 hours to complete, including the time for getting the needed data and reviewing both the instructions and completing the form. Send comments regarding our estimate or any other aspects of this form, including suggestions for reducing completion time to Office of Personnel Management (OPM), OPM Forms Officer, Paperwork Reduction Project (3206-0131), Washington, DC 20415-7900. The OMB number 3206-0131 is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.

COMBINED FEDERAL CAMPAIGN (CFC)
2010 APPLICATION GUIDELINES
DEADLINE: (Insert Federation Deadline)

1. A copy of this year's CFC application form is provided for your convenience.
Please note: Member charities must submit most recent IRS Determination Letter & IRS change of name letter if applicable along with a letter explaining reasons and length of time for DBA.
2. The agency is to designate the Certifying Official for purposes of the application and use his or her signature consistently throughout the form.
3. Please note that items 1, 3, and 5 are **either/or statements**. *You must check the statement on each of these items that describes your organization and then sign.*
4. For further guidance, please review the enclosed "Instructions for Applying". If these instructions do not answer your question, you may contact (INSERT NAME OF CONTACT) the Federation (INSERT NAME) at (INSERT E_MAIL) or (INSERT FEDERATION TELEPHONE NUMBER).
5. Please carefully review the list of attachments and submit a copy of each.
6. Applications should be mailed or delivered to:

INSERT FEDERATION ADDRESS
7. Applications may be submitted from (INSERT DATES). (The FEDERATION (INSERT NAME) permit no exceptions). If using U.S. mail or another delivery service, please allow time for delivery, post marks are not acceptable if applications are not *physically* received by the due date.
8. If you want confirmation that your application has been received, fill out the enclosed faxback confirmation sheet, and we will fax that to you upon receipt of your application.
9. Eligibility Notification Mailed: by (INSERT DATE)
 - o Notice of rejections will be sent by USPS certified mail.
 - o Notice of acceptance will be sent by e-mail or facsimile.



Combined Federal Campaign

Application Overview for
Year 2010 Combined Federal Campaign (CFC)
Local Agency

(NO FAXES OR ELECTRONIC SUBMISSIONS OF APPLICATIONS ACCEPTED)

General Guidance

Enclosed is the application for participation by local independent organizations in the 3 Rivers/Pennsylvania West CFC. The following instructions and forms are intended to assist charitable agencies applying for participation in the CFC. All aspects of the CFC, including eligibility for participation, are strictly governed by Federal regulation. Copies of current CFC regulations are available on our website at www.3riverscfc.org. Additionally, the regulations are available in Adobe Acrobat PDF and Word on the OPM website at www.opm.gov/cfc.

You may download additional copies of the 3 Rivers/PA West CFC local application from our website at www.3riverscfc.org. OPM suggests that charitable organizations use the local application provided when applying to the Combined Federal Campaign (CFC). However, OPM also provides a “model” application for participation by local independent organizations in the Combined Federal Campaign located on the OPM website at www.opm.gov/cfc. **The CFC will not accept applications with modifications to any of the certification statements.**

By applying for inclusion in the CFC, charities consent to allow the Local Federal Coordinating Committee (LFCC) and OPM Director complete access to its books and records and to respond to requests for information by the LFCC and the Director.

All applicant charities are subject to verification that they are active, tax-exempt 501 (c) (3) charities recognized by the IRS upon submission of the application.

Applicants whose applications do not contain documents or who submit in-complete or out-of-date documents will not be permitted to correct their applications during the appeals process.

All organizations that apply for local eligibility and are found ineligible have an opportunity to appeal to the Local Federal Coordinating Committee (LFCC) for reconsideration. If found ineligible on appeal by the LFCC, the organization may appeal the LFCC's decision to the Director of OPM. The Director's decision is final for administrative purposes. Appellants should insure that their appeals are complete and responsive to the actual reasons for the LFCC denial decision. All federation member appeals should be submitted through the federation.

In order to determine whether an organization may participate in the campaign, the LFCC may request evidence of corrective action regarding any prior violation of regulation or directive, sanction, or penalty, as appropriate. The LFCC will decide whether the organization has demonstrated, to the LFCC's satisfaction, that the organization has taken appropriate corrective action. Failure to demonstrate satisfactory corrective action or to respond to the LFCC's request for information within 10 business days of the date of the request may result in a recommendation to OPM that the organization will not be included in the Charity List. The Director's decision will be communicated in writing to the organization.

Only independent organizations will be contacted directly by the LFCC. If you apply as a member of a federation, *DO NOT* send the LFCC an independent application. If dual applications are found, the independent application will not be considered.

FAXES OR ELECTRONIC SUBMISSIONS OF APPLICATIONS ARE NOT ACCEPTED



Combined Federal Campaign

DEFINITIONS

Organization Legal name of the applicant organization. If the name of the organization differs from the name that appears on the IRS determination letter, IRS Form 990, or audited financial statements, official documentation from the IRS or state government authorizing use of this name must accompany the application. The EIN must be included.

Employer Identification Number (EIN) The nine-digit EIN that appears on the IRS determination letter and the IRS Form 990 submitted with this application.

5 Digit CFC Number The 5 digit number assigned to the organization. Organizations that did not previously participate in the CFC should leave his field blank. A five-digit code will be assigned upon acceptance by the LFCC and IRS tax status verification by OPM.

Mailing Address A physical mailing address must be provided - **Post Office Box addresses will not be accepted.**

Telephone Organization's telephone number

Contact Person The contact person is the individual to whom the federation will direct communications. This may be any individual in the organization.

Contact Address Contact Person's physical mailing address if different than the organization's address. Post Office Boxes may be used. Participation decision letters and other CFC communications will be sent to this address.

Contact Telephone Contact person's telephone number if different than the organization's telephone number.

Fax Contact person's fax number

Contact E-Mail **Contact person's electronic mail address; not organizations general e-mail address.**

Website Address List the complete Internet address of the applicant organization (no e-mail addresses). **This information is required**, if the organization has an Internet address.

Disbursement Address List the address where paper checks will be sent, if different from mailing address. Post office boxes may be used for the disbursement address.

Electronic Funds Transfer (EFT) Information List the Routing and Account numbers, along with the name of the financial institution, where funds should be disbursed. This is an optional method for receipt of CFC contributions. NOTE: Some campaigns may elect not to disburse funds electronically.

Certifying Official The certifying official is the individual who has the authority to affirm that all statements in the application are accurate.

APPLICATION INSTRUCTIONS

For details regarding CFC eligibility requirements for federation members, refer to CFC Guidance Memoranda on the CFC website at www.opm.gov/cfc.

Applicants must check the box next to each certification statement to demonstrate agreement to comply with the statement and to certify that it meets the requirement. **Failure to provide a check mark for each of the statements will be considered a refusal to certify and will result in the denial of the application.**

Item 1 **Provide the hours and days per week of operation (a minimum of 15 hours per week is required) and the county and state where the applicant organization's office is located.**

Check the one appropriate box and sign. **Include as Attachment A supporting statements and/or documentation demonstrating to the satisfaction of the LFCC that the organization has a substantial local presence in the geographical area covered by the local campaign, a substantial local presence in the geographical area covered by an adjacent local campaign, or substantial statewide presence. Attachment A must also include a description of the actual services, benefits, assistance, or program activities provided by the organization in calendar year 2009 and how those programs, services, benefits, etc. affect human health and welfare of the target population. (see Certification #4)**

(i) Substantial local presence is defined as a staffed facility, office or portion of a residence dedicated exclusively to that organization, available to members of the public seeking its services or benefits. The facility must be open at least 15 hours a week and have a telephone dedicated exclusively to the organization. The office may be staffed by volunteers. Substantial local presence cannot be met on the basis of services provided solely through an "800" telephone number, the internet, the U.S. Postal Service or a combination thereof.

(ii) An adjacent local campaign is defined as a local campaign whose geographic border touches the geographic border of another local campaign. Participation in a local campaign via an adjacency determination does not grant the organization a substantial local presence in the adjacent local campaign and participating via adjacency cannot be used to establish adjacency to local campaigns bordering the adjacent campaign area.

(iii) Substantial statewide presence is defined as providing or conducting real services, benefits, assistance or program activities covering 30 percent of a state's geographic boundaries or providing or conducting real services, benefits, assistance or program activities affecting 30 percent of a state's population. Substantial statewide presence cannot be met on the basis of services provided solely through an "800" telephone number, the internet, the U.S. Postal Service or a combination thereof.

If applying for statewide eligibility, make sure to make available to the LFCC proof of statewide coverage. Federations applying for statewide eligibility must provide either a detailed description of the services and activities they provide to 30 percent of their target population in a given state OR a detailed description of those activities covering 30 percent of the state's geographical boundaries, either directly or indirectly through their member organizations.

CFC regulation 5 CFR §950.204(b)(1)(iii) requires an organization certifying statewide presence to demonstrate that it provided or conducted real services, benefits, assistance, or program activities covering 30% of a state's geographic boundaries or affecting 30% of the targeted population in the state. Common errors in establishing statewide presence include lack of description of the actual services, failure to define the target population, failure to show the geographic area where actual services have been provided or failure to fully demonstrate that the population served or the geographic area equals 30% or more of the target population or geographic area. Total population statistics by county or claims that services are available to individuals in these counties are generally not adequate evidence of service.

In the case of the 30% geographic area test, applicant organizations must make available service records or other evidence (i.e. visitor statistics, client or membership statistics, project results or clearly outlined maps) that clearly show what portion of the geographic area has been covered relative to the total state geographic area.

In the case of the 30% population test, if an organization services special populations (i.e. persons with HIV or AIDS, adults requiring literacy instruction, non-English speaking children, etc.) the organization should provide evidence that it affects 30% of the eligible or target population. This can be shown by providing an estimate (through population surveys,

needs assessment studies, etc.) of the total target population eligible to receive the services together with a demonstration that its services affect 30% of that target population. It is helpful if the applicant demonstrates that it has met the 30% rule by providing a calculation along with its Attachment A, such as:

Population Served = 30,000 people	OR	Target Population = 100,000 people
Counties Served = 30		Percentage of Target Population Served = 30%
Total Counties in State = 100		Percentage of Counties Served = 30%

Whichever eligibility criteria the applicant organization chooses, the burden of proving the criteria is on the applicant organization.

To determine statewide presence, a detailed description of the programs, services, benefits, etc. provided by the federation or its member organizations and **how** those programs, services, benefits, etc. affect the health and/or welfare of the target population should be accessible to the LFCC for verification of statewide presence.

- Specify the recipient(s) of the service, benefit, assistance, or program activity (WHO)
- Describe the type of activities provided or conducted (WHAT)
- Describe how the services were provided or conducted (HOW)
- Provide dates of services within the 3 year period immediately prior to the application year (WHEN)
*applies specifically to national/international organizations
- **DON'T** - Generalize the nature of the activity; List activities that were "offered"

Item 2 **Sign and include as *Attachment B* the most recent IRS determination letter.**

If the name of the applicant organization differs on the IRS determination letter, the IRS Form 990, or audited financial statements, documentation from the IRS or state government authorizing this name change must accompany the application.

Interim IRS 501(c)(3) letters with expiration dates before December 31 of the year preceding the campaign year for which the organization is applying will be accepted only with proof of application to the IRS for permanent 501(c)(3) status.

Organizations that are part of an **IRS group exemption** must provide a copy of the IRS letter granting the group exemption, as well as the list of subordinates that are covered by the group exemption. If the subordinate's EIN is different from the EIN on the group exemption letter, EIN documentation from the IRS must be provided.

Bona-fide chapters or affiliates of a national organization that do not have an IRS determination letter for the local organization must provide a certification signed by either the Chief Executive Officer (CEO) or CEO equivalent of the national organization stating that the local charitable organization operates as a bona-fide chapter or affiliate in good standing of the national organization and it is covered by the national organization's 501(c)(3) tax-exemption. A copy of the national organization's 501(c)(3) letter must accompany the CEO's certification.

Units of government are not eligible to participate in the CFC.

Each applicant's 501(c)(3) status will be verified with the IRS. Applicants whose current 501(c)(3) status cannot be confirmed by the IRS will be denied participation. OPM encourages organizations to request current letters from the IRS confirming the group's tax-exempt status. This request can be made by contacting the IRS at (877) 829-5500.

Item 3 **Check the one appropriate box and sign.**

Listing of a national organization, as well as its local affiliate organization, is permitted. Each national or local organization must individually meet all of the eligibility criteria and submit independent documentation as required in 5 C.F.R. §950.202, §950.203 or §950.204 to be included in the Charity List, except that a local affiliate of a national organization that is not separately incorporated, in lieu of its own 26 USC 501(c)(3) tax exemption letter and, to the extent required by §950.204(b)(2)(ii), audited financial statements, may submit the national organization's 26 USC 501(c)(3) tax exemption letter and audited financial statements, but must provide its own pro forma IRS Form 990, page 1 and Part V only, for CFC purposes. The local organization

must submit a certification from the Chief Executive Officer (CEO) or CEO equivalent of the national organization stating that it operates as a bona-fide chapter or affiliate in good standing of the national organization and is covered by the national organization's 26 USC 501(c)(3) tax exemption, IRS Form 990 and audited financial statements.

A national organization may waive its listing in the National/International or International parts of the Charity List in favor of its local affiliate by following the procedures set forth in 5 C.F.R. §950.201(c).

Item 4 **Self-explanatory – Signature Required**

Human health and welfare services provided in **calendar year 2009** must be reflected in **Attachment A**.

Item 5 **Check the appropriate box and sign.**

- **Organizations with \$250,000 or more in annual revenue, as reported on the IRS Form 990, are required to submit an annual audit of fiscal operations by an independent certified public accountant in accordance with Generally Accepted Auditing Standards (GAAS).** The audited financial statements and IRS Form 990 must be prepared using the accrual method of accounting and cover the same fiscal period that ended not more than 18 months prior to January 2010 (i.e. ending on or after June 30, 2008). **Include as Attachment C a copy of the organization's audited annual financial statements.** The organization must certify that it accounts for its funds in accordance with Generally Accepted Accounting Principles (GAAP) and has an audit of its fiscal operations completed annually by an independent certified public accountant in accordance with GAAS. Note that GAAP requires the use of the accrual method of accounting. No other basis of accounting is acceptable under GAAP. The cash basis, modified cash basis, modified accrual, and any other methods are not acceptable.

OR

- **Organizations with total revenue of at least \$100,000 but less than \$250,000: the certifying official must certify that the organization accounts for its funds in accordance with GAAP and has an audit of its fiscal operations completed annually by an independent certified public accountant in accordance with GAAS.** The organization is not required to submit a copy of the audited financial statements with the CFC application. However, the information must be provided to OPM or the LFCC upon request. Note that GAAP requires the use of the accrual method of accounting. No other basis of accounting is acceptable under GAAP. The cash basis, modified cash basis, modified accrual, and any other methods are not acceptable. **The LFCC will randomly select applicant charities and request the audit and part of their eligibility verification process.**

OR

- **Organizations with total revenue of less than \$100,000: the certifying official must certify that the organization has controls in place to ensure funds are properly accounted for and that it can provide accurate timely financial information to interested parties.** It is not required to submit financial documentation with the CFC application. However, the information must be provided to OPM or the LFCC upon request. **The LFCC will randomly select applicant charities and request the audit and part of their eligibility verification process.**

Bona-fide chapters or local affiliates of a national organization that are not separately incorporated whose pro forma IRS Form 990 reports revenues over \$250,000 and whose financial operations are covered by an audit of the national organization may submit the national organization's audited financial statements together with a certification from the national organization's Chief Executive Officer (CEO) or CEO equivalent stating that it operates as a bona-fide affiliate in good standing of the national organization and is covered by the national organization's 26 U.S.C. 501(c)(3) tax exemption, IRS Form 990 and audited financial statements. (See requirements under Item #2 for bona-fide chapters or local affiliates.)

Bona-fide chapters of a national organization that are not separately incorporated whose pro forma IRS Form 990 reports revenues of at least \$100,000 but less than \$250,000 and whose financial operations are covered by an audit of the national organization may certify it has an audit of its fiscal operations completed annually if it, at the time of the certification, is in good standing of the national organization and is covered by the national organization's 26 U.S.C. 501(c)(3) tax exemption, IRS Form 990 and audited financial statements. This organization is not required to submit with its application the national organization's audited

financial statements or CEO statement evidencing proof of good standing and coverage by the national organization's documentation. However, it must be able to supply this documentation to the LFCC or OPM upon request. (See requirements under Item #2 for bona-fide chapters or local affiliates.)

Item 6 Check the one appropriate box. **Sign and include as Attachment D a copy of the complete, signed IRS Form 990 for a period ended not more than 18 months prior to January 2010.** The IRS Form 990 must include a signature in the block marked "Signature of officer"; the preparer's signature alone is not sufficient. Organizations that file the IRS Form 990 electronically may submit a signed copy of the IRS Form 8879-EO or IRS Form 8453-EO in lieu of a signature on the IRS Form 990.

A complete IRS Form 990 is required, including all supplemental statements and Schedule A, if applicable, to be eligible for the CFC. If the Internal Revenue Service does not require the organization to file the Form 990 it must complete and submit a pro forma IRS Form 990 (see instructions below). IRS Forms 990EZ, 990PF, and comparable forms will not be accepted. However, smaller organizations that file Form 990EZ may submit it with the appropriate pro forma pages as described below depending on whether or not it is a 2007 or 2008 IRS Form 990 attached in lieu of a complete IRS Form 990.

Pro forma IRS Form 990 Instructions – The IRS Form 990 can be downloaded from the IRS website (www.irs.gov). If the fiscal period ended before December 31, 2008, the applicant must use the 2007 IRS Form 990 and complete page 1 and Part V for CFC purposes.

If the fiscal period ended on or after December 31, 2008, the applicant must use the 2008 IRS Form 990 (or more recent year, if available). The following sections must be completed: page 1 (Part I, Summary and Part II, Signature Block), page 7 and 8 (Part VII, Compensation sections A and B); page 9 (Part VIII, Statement of Revenues), page 10 (Part IX, Statement of Functional Expenses), and page 11 (Part XI, Financial Statements and Report).

The audited financial statements and IRS Form 990 must be prepared using the accrual method of accounting and cover the same fiscal period ended not more than 18 months prior to January 2010 (i.e. ending on or after June 30, 2008).

Item 7 **Signature Required - Calculate and enter the organization's annual percentage for administrative and fundraising expenses.** This percentage is computed from the IRS Form 990 submitted with this application. Depending on which year's IRS Form 990 is submitted, the percentage will be calculated based on information contained on the:

(A) **2007** IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fundraising" (line 15) and dividing the resulting total by "total revenue" (line 12);

-OR-

(B) **2008 (or more recent)** IRS Form 990 by adding the amount in Part IX (Statement of Functional Expenses), Line 25, Column C (Management and General Expenses) to the amount in Line 25, Column D (Fundraising Expenses), and dividing the sum by Part VIII (Statement of Revenue), Line 12, Column A (Total Revenue).

No other methods may be used to calculate this percentage. All percentages must be listed to the tenth of a percent (e.g. 15.7%).

Item 8 Self-explanatory - Signature Required
Item 9 Self-explanatory - Signature Required
Item 10 Self-explanatory - Signature Required
Item 11 Self-explanatory - Signature Required

Item 12 **Signature Required** - Each federation and independent organization applying to participate in the CFC must, as a condition of participation, certify that it is in compliance with all statutes, Executive Orders, and regulations restricting or prohibiting U.S. persons from engaging in transactions and dealings with countries,

entities, and individuals subject to economic sanctions administered by the U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC). The programs administered by OFAC restrict or prohibit U.S. persons from engaging in transactions and dealings with targeted countries, entities, and individuals. OFAC publishes a list of Specially Designated Nationals and Blocked Persons (SDN List). The persons on the SDN List are subject to economic sanctions. The SDN List and additional information relating to the economic sanctions programs that OFAC administers are available at <http://www.treas.gov/ofac>. A link to the SDN List is available on the CFC website (www.opm.gov/cfc). For further information, please see CFC Memo 2005-13.

Item 13 **Signature Required** - Include as **Attachment E**, a statement in 25 words or less that describes the organization's program activities. The statement should not repeat the organization's name. The organization must also provide the legal name as registered with the IRS if the organization does business under a different name. All organizations must include their IRS Employee Identification Number (EIN) regardless of whether or not they are operating under a "dba" ("doing business as"). The organization must also include a telephone number that can be reached from any location in the U.S. and the organization's administrative and fundraising rate. The legal name, telephone number, EIN, taxonomy codes (see below), and administrative and fundraising rate will NOT count as part of the 25-word statement. An Internet address where information on the organization can be obtained may be included and will not count toward the 25 words. OPM will not be responsible for incorrect Internet addresses. E-mail addresses are not accepted.

Taxonomy Codes - Each organization can identify up to three categories, in priority order, which most closely identify the type of mission, services, and activities provided. The corresponding letters will be printed in your organization's listing in the CFC brochure (see example below) to assist donors in identifying charities by type of service provided. Categories are derived from the National Taxonomy of Exempt Entities (NTEE) classification system developed by the National Center for Charitable Statistics. The 26 categories are:

- A Arts, Culture, and Humanities
- B Educational Institutions & Related Activities
- C Envir. Quality, Protection & Beautification
- D Animal Related
- E Health – General and Rehabilitative
- F Mental Health, Crisis Intervention
- G Disease, Disorders, Medicinal Disciplines
- H Medical Research
- I Crime, Legal Related
- J Employment, Job Related
- K Food, Agriculture, and Nutrition
- L Housing, Shelter
- M Public Safety, Disaster Preparedness & Relief
- N Recreation, Sports, Leisure, Athletics
- O Youth Development
- P Human Services – Multipurpose and Other
- Q International, Foreign Affairs, National Security
- R Civil Rights, Social Action, Advocacy
- S Community Improvement, Capacity Building
- T Philanthropy, Voluntarism & Foundations
- U Science & Technology Research Institutes, Services
- V Social Science Research Institutes, Services
- W Public, Social Benefit: Multipurpose, Other
- X Religion Related, Spiritual Development
- Y Mutual/Membership Benefit Orgs., Other
- Z Other

Special design text used to draw attention to an organization title, such as special fonts, capitalization, quotations, and underlining, are not accepted. **Any statement that uses special features, or exceeds 25 words will be edited by the LFCC.** Organizations will be listed by their legal IRS recognized name as it appears on the IRS determination letter only unless the appropriate legal documentation permitting otherwise is provided with the application. See Item 2. **The format as it will appear is as follows:**

0000 Name of Organization (legal name of organization, if applicable) (202)555-1234 www.opm.gov/cfc EIN#123456789
The description will contain no more than 25 words. It should be worded so the donor understands the program services provided. 4.2% B,V,O



Combined Federal Campaign

2010 APPLICATION FOR LOCAL INDEPENDENT CHARITIES

Organization: _____

Legal Name *(if different)* _____

Employer Identification Number (EIN): ___ - _____

5 Digit CFC Number (If a participant in the last year's CFC): _____

Mailing Address: _____

(Post Office Box addresses are NOT accepted and may result in automatic disqualification.)

Telephone: () _____

Contact Person: _____

Contact Address: _____
(If different from the above address – Post Office Boxes are acceptable for the Contact Address. All federation CFC correspondence will be sent to this address.)

Contact Telephone: () _____ Fax: () _____

Contact E-Mail Address: _____

Website Address (required, if available): _____

Disbursement Address: _____
(This is the address where paper checks will be sent. PO Box is accepted.)

Electronic Funds Transfer (EFT) information (Optional):

Routing Number (9 digits): _____

ACCT: _____

Financial Institution: _____

- 1) **Hours of Operation Per Each Day of the Week** (Example: Monday-Friday, 9AM-3PM; Saturday, 10AM – 3PM; Sunday, Closed:
Hours of Operation:
-
-

County and State Where Office is Located: _____

Place a check in the **one** appropriate box and sign.

- I certify that the organization named in the application has a substantial **local presence** in the geographical area covered by the local campaign. (Substantial local presence is defined as a staffed facility, office or portion of a residence dedicated exclusively to that organization, available to members of the public seeking its services or benefits. The facility must be open at least 15 hours a week and have a telephone dedicated exclusively to the organization.) **Include as ATTACHMENT A supporting statements and/or documentation of substantial local presence in the geographical area covered by the local campaign and a description of the programs, services, benefits, etc. provided by the organization in calendar year 2009 and how those programs, services, benefits, etc. affect human health and welfare of the target population. (See Instructions for specific requirements.)**
- OR-
- I certify that the applicant organization named in the application has a substantial **adjacent presence** in the geographical area covered by an adjacent local campaign. (Substantial adjacent presence is defined in the Instructions as Item 1.) **Include as ATTACHMENT A supporting statements and/or documentation of substantial presence in the geographical area covered by an adjacent campaign and a description of the programs, services, benefits, etc. provided by the organization in calendar year 2009 and how those programs, services, benefits, etc. affect human health and welfare of the target population. (See Instructions for specific requirements.)**
- OR-
- I certify that the organization named in the application has a substantial **statewide presence**. (Substantial statewide presence is defined in the Instructions as Item 1.) **Include as ATTACHMENT A supporting statements and/or documentation of substantial statewide presence and a description of the programs, services, benefits, etc. provided by the organization in calendar year 2009 and how those programs, services, benefits, etc. affect human health and welfare of the target population.**

Certifying Official's Signature

- 2) I certify that the Internal Revenue Service recognizes the organization named in this application as tax-exempt under 26 U.S.C. 501(c)(3) and to which contributions are tax deductible pursuant to 26 U.S.C. 170(c)(2). **Include a copy of the most recent IRS determination letter as Attachment B.** If the name of the organization is different from the name which appears on the IRS Form 990, IRS determine letter, audited financial statements, or annual report, official documentation authorizing the name change must accompany the application. See instructions for additional information.

Certifying Official's Signature

3) Place a check in the **one** appropriate box:

I certify that the organization named in this application is **not part of a group exemption.**

-OR-

I certify that the organization named in this application **is part of a group exemption.**

-OR-

I certify that the organization named in this application **is a bona-fide chapter or affiliate** that operates under a national organization's single corporation tax-exemption.

Certifying Official's Signature

4) I certify that the organization named in this application is a human health and welfare organization providing services, benefits, or assistance to, or conducting activities that directly or indirectly affect, human health and welfare. **The services, benefits, assistance, or program activities affecting human health and welfare provided in 2009 are reflected in ATTACHMENT A.**

Certifying Official's Signature

5)

I certify that the organization named in this application reports total revenue of \$250,000 or more on its IRS Form 990 (or pro forma IRS Form 990) covering a period ending not more than 18 months prior to January 2010 and meets *both* of the following two conditions:

- accounts for its funds on the accrual basis in accordance with generally accepted accounting principles (GAAP); and,
- has an audit of its fiscal operations completed annually by an independent certified public accountant in accordance with generally accepted auditing standards (GAAS). **(Include as ATTACHMENT C a copy of the organization's most recent audited financial statements for a fiscal period ending no later than 18 months prior to January 2010.)**

I certify that the organization named in this application reports total revenue of at least \$100,000 but less than \$250,000 on its IRS Form 990 (or pro forma IRS Form 990) covering a period ending not more than 18 months prior to January 2010 and meets *both* of the following two conditions:

- accounts for its funds on an accrual basis in accordance with generally accepted accounting principles (GAAP); and,
- has an audit of its fiscal operations completed annually by an independent certified public accountant in accordance with generally accepted auditing standards (GAAS).

I certify that the organization named in this application reports total revenue of less than \$100,000 on its IRS Form 990 (or pro forma IRS Form 990) covering a period ending not more than 18 months prior to January 2010 and has controls in place to ensure funds are properly accounted for and that it can provide accurate timely financial information to interested parties.

Certifying Official's Signature

6) Place a check in the **one** appropriate box and sign:

I certify that the organization named in this application prepares and submits to the IRS a complete copy of the organization's IRS Form 990. **(Include as ATTACHMENT D a copy of the complete IRS Form 990 for a period ending not more than 18 months prior to January 2010, including signatures in the box marked "Signature of Officer" or in IRS Forms 8879-EO or 8453-EO. The preparer's signature alone is not sufficient. IRS Forms 990EZ, 990PF, and comparable forms are not acceptable substitutes.**

-OR-

I certify that the organization named in this application is not required to prepare and submit an IRS Form 990 to the IRS. **(Include as ATTACHMENT D a pro forma IRS Form 990 for a period ending not more than 18 months prior to January 2010. If the organization is using the 2007 IRS Form 990 as its pro forma 990, include page 1 and Part V only for a period ending not more than 18 months prior to January 2010. If the organization is using the revised 2008 or more recent IRS Form 990 as its pro forma 990, then it must complete and provide page 1 (Part I, Summary and Part II, Signature Block), page 7 and 8 (Part VII, Compensation sections A and B); page 9 (Part VIII, Statement of Revenues), page 10 (Part IX, Statement of Functional Expenses), and page 11 (Part XI, Financial Statements and Report). IRS Forms 990 EZ, 990PF, and comparable forms are not acceptable substitutes.)**

Certifying Official's Signature

7)

I certify that the administrative and fundraising rate for the organization named in this application is ___ . ___ . ___ %. This percentage is computed from the IRS Form 990 submitted with this application. Depending on which year's IRS Form 990 is submitted, the percentage will be calculated based on information contained on the:

(A) 2007 IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fundraising" (line 15) and dividing the resulting total by "total revenue" (line 12);

-OR-

(B) 2008 (or most recent) Revised IRS Form 990 by adding the amount in Part IX (Statement of Functional Expenses), Line 25, Column C (Management and General Expenses) to the amount in Line 25, Column D (Fundraising Expenses), & dividing the sum by Part VIII (Statement of Revenue), Line 12, Column A (Total Revenue). No other methods may be used to calculate this percentage. All percentages must be listed to the tenth of a percent (i10.0% or 15.5%).

Certifying Official's Signature

8)

I certify that an active and responsible governing body, whose members have no material conflict of interest and a majority of which serve without compensation, directs the organization named in this application.

Certifying Official's Signature

9)

I certify that the organization named in this application prohibits the sale or lease of CFC contributor lists.

Certifying Official's Signature

10)

I certify that the organization named in this application conducts publicity and promotional activities based upon its actual program and operations, and that these activities are truthful and non-deceptive, include all material facts, and make no exaggerated or misleading claims.

Certifying Official's Signature

11)

I certify that the organization named in this application effectively uses the funds contributed for its announced purposes.

Certifying Official's Signature

12)

I certify that the organization named in this application is in compliance with all statutes, Executive orders, and regulations restricting or prohibiting U.S. persons from engaging in transactions and dealings with countries, entities, or individuals subject to economic sanctions administered by the U.S. Department of the Treasury's Office of Foreign Assets Control. The organization named in this application is aware that a list of countries subject to such sanctions, a list of Specially Designated Nationals and Blocked Persons subject to such sanctions, and overviews and guidelines for each such sanctions program can be found at <http://www.treas.gov/ofac>. Should any change in circumstances pertaining to this certification occur at any time, the organization will notify OPM's Office of CFC Operations immediately.

Certifying Official's Signature

13)

Include as **ATTACHMENT E** a 25-word statement for listing in the campaign brochure. **(See Instructions Item 13 for additional required information and the new optional taxonomy codes.)**

CERTIFYING OFFICIAL

I, _____, am the duly appointed representative
(Print Name)

of _____ authorized to certify and affirm all statements
(Print Organization Name)

enclosed in this application. I certify that I have read all the certifications set forth in this document and affirm their accuracy. In addition, by checking the box next to the certification, the organization named in this application acknowledges and agrees to comply with that certification.

(Signature)

(Typed or Printed Name)

(Title)

Date Completed _____

NOTE:
Applications will not be accepted if submitted electronically or by facsimile. The certifying official's signatures must be original. Automatic pens and/or signature stamps may not be used.

ATTACHMENT E

Twenty-five word statement. Use your twenty-five words very carefully. Do not repeat your agency name (however you must include your legal name if different) or the words "administrative / fund raising costs" in the description. Please limit your statement to a description of the real services and benefits your organization provides. Unjustified CAPITALIZATION, "quotations", *italicizing*, **bold statements**, underlining or other highlighted text will be removed by the CFC. If there is justification for altering any of the text in your statement (such as the title of a magazine or an acronym) be sure to let us know so an omission is not made. Your organization name, phone number, internet web page address (not your e-mail address), Legal name if applicable, Employee Identification Number, and administrative/fundraising cost percentage will automatically be included and DO NOT count towards the 25 word statement.

When writing your statement, keep in mind that this list is also available electronically to our donors. If they are having difficulty choosing an agency, we recommend that they do a "keyword" search describing the cause(s) they are interested in to locate agencies that included those keywords in their statements (i.e. search for cancer, homeless, conservation...).

DO NOT USE MORE THAN 25 WORDS, OR WE WILL REDUCE IT TO 25 WORDS FOR YOU.

NAME OF ORGANIZATION

Exactly as you wish it to appear in the brochure: (To use a name other than the legal incorporated name as it appears on the IRS Form 990 requires appropriate documentation - **see question 2 and Attachment B**)

LEGAL NAME (If different from above): _____

EMPLOYEE IDENTIFICATION NUMBER: _____

PREVIOUS 5 DIGIT CFC NUMBER: _____ (for this region's campaign)

PHONE NUMBER FOR LISTING:(____) _____

INTERNET WEB PAGE ADDRESS: _____ E-mail not permitted

TWENTY-FIVE WORD STATEMENT: _____

Administrative / Fundraising costs as a percentage: _____ %
(include the 1st decimal point, such as 10.7% - See question 8 for computation instructions)

Taxonomy: _____, _____, _____
Three categories, in priority order, which most closely identify the type of mission, services, and activities provided

2010 CFC APPLICATION ATTACHMENT CHECK LIST

(Created to assist to with application process)

One copy of each attachment is required.

REQUIRED ATTACHMENTS (failure to provide any of these documents may result in a denial)

- Attachment A** - Provide a separate statement and documentation which supports your substantial presence certification; **documentation of local presence, adjacent presence, or statewide presence**
- Organization Name
 - Organization's physical address that is available to the public
 - Organization's dedicated telephone number
 - Organization's hours of operation (must be open at least 15 hours a week)
 - **Description of the programs, services, benefits, etc. provided by the organization in calendar year 2009 and how those programs, services, benefits, etc. affect human health and welfare of the target population.**
- (See Instructions for specific requirements.)*

- Attachment B** - **Most recent IRS Determination Letter & IRS change of name letter if applicable along a letter explaining reasons and length of time for DBA.** (See Item 2)

- Attachment C** - Agency's most recent audited financial statements, if agency revenue exceeds \$250,000. (See item 5)

- Attachment D** - Agency's most recent IRS Form 990- Even if the Internal Revenue Service does not require your organization to file the Form 990, you must complete a Pro Forma 990 and include it in your application to be eligible for the Combined Federal Campaign. **A 990EZ is only accepted from smaller organizations when accompanied by pages 1 & 2 IRS Form 990 or Pro Forma 990.**

NOTE: ATTACHMENTS C and D must cover the same time period.
(See item 6)

- Attachment E** - 25 word statements including all required information. Information to include is the percentage of its total support and revenue that goes to administration and fund raising, a telephone number, legal name (if different), EIN, and web page address (if available) through which donors can receive further information and new taxonomy codes. (See item 13)

2010 CFC INDEPENDENT CHARITY - APPLICATION SELF- AUDIT FORM

Contained a Completed Application Form Contained All Affirming Original Signatures All Certifications Checked

ATTACHMENT A – 1 Description of substantial presence (*must meet all criteria in category*)

<input type="checkbox"/> LOCAL	<input type="checkbox"/> ADJACENT	<input type="checkbox"/> STATEWIDE
<input type="checkbox"/> Dedicated Address County: _____ State: _____ <input type="checkbox"/> Dedicated Phone Line <input type="checkbox"/> Open at least 15 Hours <input type="checkbox"/> List Hours of Operation: _____	Local Requirements plus : <input type="checkbox"/> Located in Adjacent Campaign or Adjacent County Campaign: _____ County: _____ _____	Local Requirements plus: <input type="checkbox"/> 30% Geographic (30 Counties) <input type="checkbox"/> Maps & <input type="checkbox"/> Service Records Or <input type="checkbox"/> 30% Target Eligible Population <input type="checkbox"/> # Target Population: _____ <input type="checkbox"/> # Affected Population: _____

ATTACHMENT A – 2 Description of human/health/welfare benefits provided in **2009**

Must Include <input type="checkbox"/> Detailed Description of Activities	<input type="checkbox"/> Services Provided in 2009	<input type="checkbox"/> Who Benefits and How
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ATTACHMENT B - IRS Determination Letter – 501(c)(3) – CHECK APPROPRIATE BOX BELOW

- IRS Determination Letter is for Applicant
- Official documentation authorizing name change (DBA) (*if applicable*)
- IRS Determination Letter is a Group Exemption Letter (*if applicable; usually parent IRS letter*)
- Group Exemption Subordinate List Documentation (*if applicable and attached with above Group Letter*)
- Subordinate EIN Documentation if EIN different from Group EIN Number (*if applicable*)
- Bona-Fide affiliate/chapter - Signed certification letter from National CEO from 2009 or current (*if applicable*)
- Bona-Fide affiliate/chapter - Copy of national organization's 501(c)(3) Letter (*if applicable & with above letter*)

** Religious organizations should provide IRS Letter, parent church organizations most recent "church directory" with applicants info; or a letter from parent church organization affirming applicants 501(c)(3) status.*

ATTACHMENT C - Copy of audit (Must include **June 30, 2008** or more current) Time Frame: _____

- N/A (*Not required if Federation/Charity is less than 24 months old or with Revenue under \$250,000*)
- (*if applicable*) CEO signed certification states affiliate/chapter included on national organization's audit
- Name matches IRS Determination Letter or DBA Documentation
- CPA Report on company letterhead CPA Report signed by auditor GAAP/GAAS Yes No

ATTACHMENT D - IRS form 990 (Must include **June 30, 2008** or more current) Time Frame: _____

- Form 990 is for applicant charity Form 990 is for parent charity 990 pro forma provided
- Form 990, *includes signature of officer* **Accrual** Cash Other
- Form 990EZ, (Must include 990 page 1 & Part V for 2007 990 Form (**or pgs 1, 7, 8, 9, 10 & 11 of 2008 Form**))
- Audit & 990 - Dates Match** Yes No
- Name and EIN match IRS Determination Letter or DBA
- Includes all supplemental statements (Must be included if needed)
- Includes Schedule A – (Must be included if revenue is \$100,000 or more)
- Includes Board information in Part V & "0" Compensation (Must be include)

Administration/Fundraising Rate (AFR)

<p>2007 IRS Form 990 Add line 14 (management & general) and Line 15 (fundraising): _____ + _____ = _____ Total Enter Line 12 (total revenue): _____ Divide total of lines 14 & 15 by line 12. The result is the 2007 percentage overhead: _____%</p> <p>2008 IRS Form 990 Add the amount in Part IX (Statement of Functional Expenses) 25 C _____ + 25 D _____ = _____ <i>Add Line 25, Column C (Management & General Expenses) to the amount in Line 25, Column D (Fundraising Expenses)</i> Divide the total of lines 25C & 25D by Line 12 (Column A - Total Revenue _____). The result is the 2008 percentage Overhead: _____%.</p>

ATTACHMENT E – Statement of 25 words or less

- Word Count: _____
- Administrative % _____
- Taxonomy _____